

ETHO™

etfmg™

ETHO
— CAPITAL —

Etho Climate Leadership U.S. ETF

ETHO selects equities based primarily on an assessment of an equity's carbon footprint.



First broad based, diversified, socially responsible and fossil free ETF that doesn't have exposure to the traditional energy sector¹



Uses a 5-level screening/weighting process to put emphasis on companies that are not only socially responsible and meet ESG guidelines but also have strong operational efficiency against their less sustainable peers



System of stock selection pioneered by a Stanford environmental science lecturer

PERFORMANCE

	CUMULATIVE				ANNUALIZED				
	1 month	3 months	YTD	Since Incep.	1 year	3 years	5 years	10 years	Since Incep.
MARKET PRICE	-0.32%	6.11%	11.05%	54.11%	18.22%				16.27%
NAV	-0.36%	6.42%	11.40%	53.92%	18.16%				16.22%

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance of the Funds may be lower or higher than the performance quoted. All performance is historical and includes reinvestment of dividends and capital gains. Performance data current to the most recent month end may be obtained by calling 1-844-ETF-MGRS (1-844-383-6477). Market returns are based on the midpoint of the bid/ask spread at 4 p.m. ET and do not represent the returns an investor would receive if shares were traded at other times. Performance is annualized for periods greater than 1 year.

ETHO CAPITAL | SMART SUSTAINABILITY PROCESS

1	QUANTITATIVE CLIMATE LEADERSHIP SELECTION	Etho analyzes total green house gas emissions from nearly 6,000 equities to select the "climate leaders" in every industry.
2	UNSUSTAINABLE INDUSTRY SCREEN	Etho removes unsustainable industries such as tobacco, weapons, gambling, and oil/coal/natural gas.
3	ESG COMPANY SCREEN	Etho removes specific companies with poor performance on Environmental, Social and Governance (ESG) issues.
4	ESG EXPERT + STAKEHOLDERS REVIEW	ESG experts and stakeholders give qualitative input for Etho's consideration when deciding to remove additional equities.
5	EQUAL WEIGHTING	Etho then equally weights the remaining equities so that each represents the same proportional amount within the portfolio.

FOR MORE INFORMATION

WEBSITE:	ETHOETF.COM
EMAIL:	INFO@ETFMG.COM
SALES INQUIRIES:	1.844.383.6477

FUND INFORMATION

Fund Inception	11/19/15
Ticker	ETHO
CUSIP	26924G888
ISIN	US26924G8886
Stock Exchange	NYSE ARCA
Expense Ratio	0.47%
Security Lending Income	0.10% ²
Intraday NAV (IIV)	ETHO.IV
NAV Symbol	ETHO.NV
Rebalance Frequency	Annual

TOP 10 FUND HOLDINGS

ADVANCED MICRO DEVICES	0.97%
DEXCOM	0.61%
LULULEMON	0.57%
MASTERCARD	0.56%
SVB FINANCIAL GROUP	0.51%
ILLUMINA	0.49%
ALIGN TECHNOLOGY	0.49%
INTEGRATED DEVICE TECH	0.49%
SEATTLE GENETICS	0.47%
TRACTOR SUPPLY COMPANY	0.46%

KEY INDEX FACTS

Index Provider	Etho Capital
No. of Holdings	291
Index Ticker	ECLI

Holdings are subject to change.

²Security Lending income is expressed as the result of dividing net securities lending income for the twelve months ending 9/30/18 divided by the average daily net assets of the Fund for the same period.

ABOUT THE FUND

ETHO is the first diversified index ETF that avoids fossil fuel companies and the first public investment product to select equities based primarily on an assessment of an equity’s carbon footprint. The ETHO™ ETF seeks to provide investment results that, before fees and expenses, correspond generally to the price and yield performance of the Etho Climate Leadership Index™ (ECLI™), a broad-based index of publicly traded U.S. companies that are, on average, more climate efficient than their industry peers.

ABOUT THE INDEX

To build the ECLI, Etho Capital uses a positive selection methodology to review nearly 6,000 of the most commonly traded public companies and identify the most carbon-efficient climate leaders in each industry. Using climate performance data from Trucost, Etho Capital ranks almost all public companies by carbon emissions per dollar invested and selects equities that are 50% more carbon efficient than their industry average into the ECLI’s holdings. All fossil fuel, tobacco, weapons and gambling companies are eliminated from the index. Finally, a screen is conducted based on environmental, social and governance performance data with expertise from NGO partners and input from global stakeholders.



- 21.74%** ● INFORMATION TECHNOLOGY
- 19.57%** ● INDUSTRIALS
- 14.92%** ● CONSUMER DISCRETIONARY
- 13.64%** ● FINANCIALS
- 12.77%** ● HEALTH CARE
- 5.53%** ● MATERIALS
- 3.81%** ● UTILITIES
- 3.32%** ● CONSUMER STAPLES
- 2.94%** ● REAL ESTATE
- 1.49%** ● COMMUNICATION SERVICES
- 0.27%** ● CASH + EQUIVALENTS

¹ETFMG/ Etho Capital research

Carefully consider the Fund’s investment objectives, risk factors, charges, and expenses before investing. This and additional information can be found in the Fund’s prospectus , which may be obtained by calling 1-844-ETF-MGRS (1-844-383-6477), or by visiting www.ethoetf.com. Read the prospectus carefully before investing.

Investing involves risk, including the possible loss of principal. Shares of any ETF are bought and sold at market price (not NAV), may trade at a discount or premium to NAV and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Narrowly focused investments typically exhibit higher volatility. The Fund’s return may not match or achieve a high degree of correlation with the return of the Etho Climate Leadership Index - US. To the extent the Fund utilizes a sampling approach, it may experience tracking error to a greater extent than if the Fund had sought to replicate the Index. ETF shares are not individually redeemable and owners of the shares may acquire those shares from the Fund and tender those shares for redemption to the Fund in Creation Units only, typically consisting of aggregations of 50,000 shares.

ETF Managers Group LLC serves as the investment adviser to the Fund. Solactive AG (“Solactive”) Indexes have been licensed for use by Etho Capital, LLC.

The Fund is distributed by ETFMG Financial LLC. Both ETF Managers Group LLC and ETFMG Financial LLC are wholly owned subsidiaries of Exchange Traded Managers Group LLC (collectively, “ETFMG”). ETFMG Financial LLC is not affiliated with Etho Capital or Solactive AG.

